Date	>	Nomination Form	Nomination Form for Demat Accounts and Mutual Fund (MF) Folios	ual Fund (MF) Folios	ווכ		
		[SEBI/HU/UIAE/UIA	AE_IAD-3/P/ON/2025/01650, dated January 10, 2025]	lated January 10, 2025]		,	1
TM/DP	DALAL & BROACHA STOCK BROKING PVT. LT	_			Ī	П	1 1 7 0 0
Name & Address	dress 506, Maker Chamber V, 221, Nariman Point,		Mumbai - 400 021.   Nomina	Nomination Registration No. Dated	d Client ID	0 0	
☐ I/We wish t	☐ I/We wish to make a nomination [Annexure A]		☐ I/We do not wish to make a nomination [Annexure B]	omination [Annexure B]			
[As per Nomin	[As per Nomination details given below]			//our MF Folio/demat account and understa	nd the issues involved in	non-appointment of no	ominee(s) and further are aware
I/we nereby non	الاس Allowe nereby nominate the following person(s) who snall receive all the assets neid in my/our الإسلامية معرفينية (إنجاب المرابعة) المرابعة المرابعة المرابعة المرابعة المرابعة المرابعة المرابعة المرابعة المرابعة الم	eceive all the assets held in my/or on behalf of my/our logal hoir(s) *		my/our legal heirs would need to submit all	the requisite documents	s/intormation for claim	ing of assets held in my/our MF
מרכסתוונ/ וסווס זוו	ווב בעבוור טו וווץ/טמו עבווווזב, מז נועזוכב מווע	Mandatory Details	Folloybelliat account, which his/ also moude uccuments asset by court of other soci competent authority, based on the value of assets held in the Mr Folloybelliat account.  Details   Additional Details****	וופוונא ואמפת חל כטמור טו טנוופו אמנוו נטווולפו	ent authority, baseu on t	THE VAIUE OF ASSETS TIETO  Addition	Additional Details ***
Nominee	Name of nominee	Share of Relationship nominee (%)**	Postal Address	Mobile No.	Vo. Identity Number ***	<u>ا</u>	Guardian
1. First Name				3			
Middle Name							
Last Name							
2. First Name							
Middle Name							
Last Name							
3. First Name							
Middle Name							
Last Name							
4. First Name							
Middle Name							
Last Name							
5. First Name							
Middle Name							
Last Name							
6. First Name							
Middle Name							
Last Name							
7. First Name							
Middle Name							
Last Name							
8. First Name							
Middle Name							
Last Name							
9. First Name							
Middle Name							
Last Name							
10. First Name							
Middle Name							
Last Name							

4					0770000	
т	oir	۱Ŧ.	$\Delta c$	ററ	ıır	TC.
J	UII	16/	$\neg c$	-	uı	ILJ.

Event	Transmission of Account/Folio to
Demise of one or more joint holder(s)	Surviving holder(s) through name deletion
	The surviving holder(s) shall inherit the assets as owners.
Demise of all joint holders simultaneously - having nominee	Nominee
Demise of all joint holders simultaneously - not having nominee	Legal heir(s) of the youngest holder

- \*\* If % is not specified, then the assets shall be distributed equally amongst all the nominees. Any odd lot after division/fraction of %, shall be transferred to the first nominee mentioned in the nomination form. (see table in 'Transmission aspects').
- \*\*\* Provide only number: PAN or Driving Licence or Aadhaar (last 4). Copy of the document is not required. However, in case of NRI/OCI/PIO, Passport number is acceptable.
- \*\*\*\* to be furnished only in following conditions/circumstances:
- Date of Birth (DoB): please provide, only if the nominee is minor.
- Guardian: It is optional for you to provide, if the nominee is minor.
- I/We want the details of my/our nominee to be printed in the statement of holding or statement of account, provided to me/us by the AMC/DP as follows; (please tick, as appropriate)
   □ Name of nominee(s)
   □ Nomination: Yes/No
- 2) I hereby authorize \_\_\_\_\_\_ (nominee number \_\_\_\_\_\_) to operate my account on my behalf, in case of my incapacitation in terms of paragraph 3.5 of the circular. He/She is authorized to encash my assets up to \_\_\_\_\_\_\_% of assets in the account/folio or Rs. \_\_\_\_\_\_\_. (**Optional**) (strike off portions that are not relevant)
- 3) This nomination shall supersede any prior nomination made by me/us, if any.
- 4) Signature(s) As per the mode of holding in demat account(s)/MF folio(s)

	Name(s) of holder(s)	Signature(s) of holder	Witness Signature*
1)			•
2)			•
3)			W

\* Signature of two witness(es), along with name and address are required, if the account holder affixes thumb impression, instead of wet signature.

Name & Address - Witness 1	Name & Address - Witness 2	Name & Address - Witness 3

## Rights, Entitlement and Obligation of the investor and nominee:

- If your are opening a new demat account/MF folios, you have to provide nomination. Otherwise, you have to follow procedure as per 3.10 of this circular.
- You can make nomination or change nominee any number of times without any restriction.
- You are entitiled to recive acknowledgement from the AMC/DP for each instance of providing or changing nomination.
- Upon demise of the investor, the nominees shall have the option to either contiune as joint holders with other nominees or for each nominee(s) to open separate single account/folio.
- In case all your nominees do not claim the assets from the AMC/DP, then the residual unclaimed asset shall continue to be with the AMC in case of MF units and with the concerned Depository in case of Demat account.
- You have the option to designate any one of your nominees to operate your account/folio, if case of your physical incapciation. This mandate can be changed any time you choose.
- The signatories for this nomination form shall be as per mode of holding in the folio(s)/demat account(s) i.e.
  - 'Either or Survivor' Folios/Accounts any one of the holder can sign
  - 'First holder' Folios/Accounts only First holder can sign
  - 'Jointly' Folios/Accounts both holders have to sign

## Tramnsmission aspects

- AMCs/DPs shall transmit the folio/account to the nominee(s) upon receipt of 1) copy of death certificate and 2) completion/
  updation of KYC of the nominee(s). The nomimee is not required to provide affidavits, indemnitites, undertakings, attestations
  or notarization.
- In case of a joint account/folio, for transmission to the surviving joint holder(s) by name deletion, the surviving joint holder(s) shall have the option to update residential address(es), mobile number(s), email address(es), bank account detail(s), annual income and nominee(s), either along with transmission or at a later date. The regulated entity cannot seek KYC documents at the time of transmission, unless it was sought earlier but not provided by the holder.
- Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the AMC/DP.
- In case of multiple nomineees the assets shall be distributed pro-rata to the surviving nominees, as illustrated below.

% share as specified by investor		% assets to be apportioned to surviving nominees upon					
at the time of nomination		demise of investor and nominee 'A'					
Nominee	% share	Nominee % initial share % of A's share to be apportioned Total % sha			Total % share		
Α	60%	Α	0	0	0		
В	30%	В	30%	45%	75%		
С	10%	С	10%	15%	25%		
Total	100%	-	40%	60%	100%		