

Attention Investors:

“Prevent unauthorized transactions in your trading / demat account ->Update your mobile numbers/email IDs with your stock brokers/depository participant. Receive information/alerts of your transactions directly from Exchange,all debit and other important transactions in your demat account directly from CDSL on your mobile/email at the end of the day.

“KYC is one time exercise while dealing in securities market – once KYC is done through a SEBI registered intermediary (broker, DP, Mutual Fund etc.), you need not undergo the same process again when you approach another intermediary”

.....Issued in the interest of Investors

ASBA “No need to issue cheques by investors while subscribing to IPO.Just write the bank account number and sign in the application form to authorise your bank to make payment in case of allotment.No worries for refund as the money remains in investor’s account.”

As an initiative to make investors alert about the importance of safeguarding investments and as per the exchange circular no. **52/2019 dated Oct 31st 2019**, we would like to make you aware that some fraudsters are collecting data of clients who are already into trading in exchanges and are sending them bulk messages on the pretext of providing investment tips and luring them to invest with them in their bogus firms by promising huge profits.

To protect the interest of investors and to sensitize them about such malpractices in markets, we would like to bring into your attention and make you aware that Dalal & Broacha Stock Broking Private Limited (D&B) - a SEBI Registered Intermediary; do not participate or promote such activities. Our clients are provided with safe trading interface and we encourage our clients to take informed investment decision by not falling for such tempting Ponzi schemes and trade only with SEBI registered intermediaries or service providers.

We, at D&B, do not share client's data with any unauthorized entities/persons. We encourage our clients to contact their respective RMs/APs/Branches or at HO - compliance department if they come across any such fraudulent or suspicious e-mail/SMS to authenticate whether it is sent by D&B or not. We appeal to our clients not to fall prey of any bogus schemes/ investment tips.

You may also visit following link for referring to alerts issued by NSE

https://nseindia.com/invest/content/investor_home.htm

Investors may report unsolicited SMS on +91 7506840578

(As issued by BSE on web portal <https://www.bseindia.com/members.html>)

We wish you a Happy and Safe Investing!!