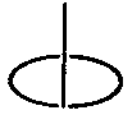




CDSL  
Your Depository



# DALAL & BROACHA STOCK BROKING PVT. LTD.

MEMBER : B.S.E. ★ N.S.E. ★ D.P. - CDSL ★ L.P.O. ★ FIXED INCOME ★ MUTUAL FUNDS  
506, Maker Chambers V, 221, Nariman Point, Mumbai - 400 021.

Tel. : 2282 2992 / 2287 6173  
Fax : 2287 0092  
e-mail : cds@dalalbroachaindia.com

## APPLICATION FORM FOR OPENING A DEMAT ACCOUNT (For entities other than Individuals)

Depository Participant - ID No. 12011700										DP SEBI Reg. No. IN-DP-CDSL-17-99								
Application No.	C 164									Date	D	D	M	M	Y	Y	Y	Y
DP Int. Ref. No.																		
DP ID	1	2	0	1	1	7	0	0	Client ID									

(To be filled by the applicant in **BLOCK LETTERS** in English)

We request you to open a Demat Account in our name as per the following details :-

Name																		
Search Name																		
Correspondence Address																		
City											State							
Country											PIN							
Telephone No.											Fax No.							
PAN																		
E-mail ID																		
Registered Office Address (if different from Correspondence Address)																		
City											State							
Country											PIN							
Telephone No.											Fax No.							
E-mail ID																		

### Other Holders - Second Holder Details

First Name																		
Middle Name																		
Last Name																		
Father / Husband Name																		
Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Miss <input type="checkbox"/> Other										Suffix							
Permanent Address																		
City											State							
Country											PIN							
PAN																		
Date of Birth	D	D	M	M	Y	Y	Y	Y										
E-mail ID																		
Tel. No.											Fax No.							
											Mobile No.							

**Other Holders - Third Holder Details**

First Name													
Middle Name													
Last Name													
Father / Husband Name													
Title	<input type="checkbox"/> Mr.	<input type="checkbox"/> Mrs.	<input type="checkbox"/> Miss	<input type="checkbox"/> Other	Suffix								
Permanent Address													
City								State					
Country								PIN					
PAN													
Date of Birth	D	D	M	M	Y	Y	Y	Y					
E-mail ID													
Tel. No.					Fax No.					Mobile No.			

**Type of Account (Please tick whichever is applicable)**

Status					Sub-Status
<input type="checkbox"/> Body Corporate	<input type="checkbox"/> Banks	<input type="checkbox"/> Trust	<input type="checkbox"/> Mutual Fund	<input type="checkbox"/> OCB	To be filled by the DP
<input type="checkbox"/> FI	<input type="checkbox"/> CM	<input type="checkbox"/> FI	<input type="checkbox"/> Clearing House	<input type="checkbox"/> Other (Specify)	

Date of Incorporation : 

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

SEBI Registration No. (If Applicable)		SEBI Registration date	D	D	M	M	Y	Y	Y	Y
ROC Registration No. (If Applicable)		ROC Registration date	D	D	M	M	Y	Y	Y	Y
RBI Registration No. (If Applicable)		RBI Approval date	D	D	M	M	Y	Y	Y	Y
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (Specify)									

I / We authorize you to receive credits in my / our account without any instruction from me / us.	(Automatic Credit) <input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly
Do you wish to receive dividend / interest directly in to your bank account given below through ECS?	<input type="checkbox"/> Yes <input type="checkbox"/> No

**Bank Details (Dividend Bank Details)**

Bank Code (9 digit MICR code)										
Bank Name										
Branch										
Bank Address										
City								State		
Country								PIN		
Account Number										
Account Type	<input type="checkbox"/> Saving	<input type="checkbox"/> Current	<input type="checkbox"/> Cash Credit	<input type="checkbox"/> Others (specify)						

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the Bank Statement having name and address of the BO and not more than 4 months old, (or)
- (iii) Photocopy of the Passbook having name and address of the BO, (or)
- (iv) Letter from the Bank.
  - > In case of option (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document and it should be self-certified by the BO.

**For OCBs**

Foreign Address		Indian Address	
City		City	
State		State	
Country		Country	
PIN		PIN	
Telephone No.		Telephone No.	
Fax No.		Fax No.	
E-mail ID		E-mail ID	
		Currency	
RBI Reference No.		RBI Approval Date	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

**Clearing Members Details (To be filled by CMs only)**

Name of the Stock Exchange	
Name of the CC/CH	
Trading ID	
Clearing Member ID	

Name *	
--------	--

\* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

**Additional Details**

SMS Alert Facility	<input type="checkbox"/> Yes MOBILE NO. +91 _____ Refer to Terms & Conditions given as Annexure-A	<input type="checkbox"/> No
easi	<input type="checkbox"/> Yes. If yes, please contact your DP for details [Facility through CDSL's website: <a href="http://www.cdslindia.com">www.cdslindia.com</a> wherein a BO can view his ISIN balances, transactions and value of the portfolio online.]	<input type="checkbox"/> No

<b>Details For Joint Second Holder</b>	
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (specify) _____
Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female
Occupation	Service { <input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify) _____
Nature of business: (Products / services provided)	

<b>Details For Joint Third Holder</b>	
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (specify) _____
Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female
Occupation	Service { <input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify) _____
Nature of business: (Products / services provided)	

Name of First Holder :

BO ID : 1 2 0 1 1 7 0 0

**TARIFF FOR "BENEFICIARY ACCOUNT" OPENED ON CDSL W.E.F. 1ST APRIL '2009**

Sl. No.	ITEM	TRADERS		INVESTOR
		TRANSACTION DONE WITH DAB	TRANSACTION DONE WITH ANY OTHER CM	
1	ACCOUNT OPENING	FREE	FREE	FREE
2	MONTHLY SERVICE CHARGES	Rs.100/-	Rs.100/-	Rs.24/-
3	DOCUMENTATION CHARGES	Rs.110/-	Rs.110/-	Rs.110/-
4	ADVANCE DEPOSIT	1000	1000	Rs.1000/-
5	ON MKT. SELL TRANSACTIONS	Rs.30/- PER TXN	0.05% MIN OF Rs.30/- PER TXN	0.05% MIN OF Rs.30/- PER TXN
6	OFF MKT. BUY TRANSACTIONS	FREE	FREE	FREE
7	OFF MKT. SELL TRANSACTIONS	0.05% MIN OF Rs.30/- PER TXN	0.05% MIN OF Rs.30/- PER TXN	0.05% MIN OF Rs.30/- PER TXN
8	CUSTODY CHARGES	FREE	FREE	FREE
9	DEMATERIALISATION	Rs.2/- Per Cert	Rs.2/- Per Cert	Rs.2/- Per Cert
10	REMATRIALISATION	Rs.25/- Per Cert	Rs.25/- Per Cert	Rs.25/- Per Cert
11	COURIER CHARGES	ACTUAL MIN Rs.50/-	ACTUAL MIN Rs.50/-	ACTUAL MIN Rs.50/-
12	PLEDGE / UNPLEDGE ACCEPTANCE	0.02% MIN OF Rs.25/- PER TXN	0.02% MIN OF Rs.25/- PER TXN	0.02% MIN OF Rs.25/- PER TXN
13	INVOCATION OF PLEDGE	0.01% MIN OF Rs.25/- PER TXN	0.01% MIN OF Rs.25/- PER TXN	0.02% MIN OF Rs.25/- PER TXN
14	EXTRA STATEMENT CHARGES	Rs.20/-	Rs.20/-	Rs.20/-
15	LATE TRANSACTION CHARGES	Rs.50/- PER INST.	Rs.50/- PER INST.	Rs.50/- PER INST.

Notes :

- Transaction charges as mentioned above in % are applicable on the value of transactions as Computed by CDSL and are subject to change based on revision in charges by CDSL.
- Daily statements are available at Rs.3000/- per annum payable in advance.
- Additional Account Maintenance Charge of Rs.500/- for other B.O's (Corporates, Financial Institutions, Mutual Funds, etc.) are charged extra as levied by CDSL.
- Advance deposit of Rs.1000/- will be adjusted against monthly billing and should be replenished in multiples of Rs.1000/- when the credit balance falls below Rs.500/-.
- Payment in advance for Account Opening and Account maintenance. Transaction charges will be billed monthly. Clients who join CDSL in any month will be billed for Account maintenance charges on pro-rata basis in relation to the financial year.
- Service Tax as applicable would be levied.
- Any other service not quoted above will be charged separately.
- Dalai & Broacha Stock Broking Pvt. Ltd. reserves the right to revise its service charges at its absolute discretion.
- SMS alert charges Rs.100/- p.a.

SCHEME :  TRADER  INVESTOR

NOTE: IN CASE YOU WOULD LIKE TO CHANGE YOUR SCHEME FOR THE NEXT YEAR, YOU ARE REQUESTED TO SELECT YOUR SCHEME AND SUBMIT THE REVISED TARIFF SCHEDULE DULY SIGNED BY ALL THE A/C HOLDERS BY MARCH 31, 2010. IF YOUR SIGNED TARIFF SCHEDULE WITH THE SELECTED SCHEME IS NOT RECEIVED BY THIS DATE WE WILL CONTINUE WITH YOUR EXISTING SCHEME AS AMENDED BY THE CHANGE IN TARIFF AND YOUR ACCEPTANCE THEREOF.

FOR FURTHER  
DETAILS PLEASE  
CONTACT :

**NARIMAN POINT**  
2204 4805.... NANDU  
2282 2992.... POOJA

**BAZARGATE**  
2265 1382.... RAJU  
VILE PARLE  
2617 4505.... VIPUL

**SANTACRUZ**  
2618 2540.... HIREN  
3291 1439

**VADODARA**  
236 1610.... PARESH  
324 4967

**PUNE**  
2605 2542.... ARVIND  
3293 0300.... AVINASH

**DELHI**  
2875 6888.... NEERAJ  
2875 6444

**CHENNAI**  
2815 8233.... RAJESH  
3297 4957

**KOLKATA**  
2476 9225.... SHANTANU  
3293 9906

**BADLAPUR**  
269 0316.... SHRIRAM  
269 3317

VISIT OUR WEBSITE  
www.dalai-broacha.com

Signature of the holders :

1.

2.

3.

I/We have read the DP-BO agreement (DP-CM agreement for BSE Clearing Member Accounts) including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I/We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We further agree that any false / misleading information given by me/us or suppression of any material information will render my account liable for termination and suitable action.

	First / Sole Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Name			
Designation			
Signature	X	X	X
Passport Size Photograph	(Please sign across the photograph) X	(Please sign across the photograph) X	(Please sign across the photograph) X

(Please Tear Here)

(Perforated Card)

DP ID	1	2	0	1	1	7	0	0	Client ID							
-------	---	---	---	---	---	---	---	---	-----------	--	--	--	--	--	--	--

	First Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Name			
Specimen Signature	X	X	X

(Please Tear Here)

(To be filled by the Depository Participant)

**Acknowledgement Receipt**

Application No.	C 164
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Date			
------	--	--	--

We hereby acknowledge the receipt of the Account Opening Application Form from :

Name of the Sole / First Holder	
Name of the Second Holder	
Name of the Third Holder	

For Dalal & Broacha Stock Broking Pvt. Ltd.

Seal / Authorised Signatory

**Instructions of the Applicants**

1. Signatures can be in English, Hindi or any or the other languages contained in the 8th Schedule of the Constitution of India. Thumb impressions and signatures other than the abovementioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.
2. Details of the Names, Address and Tel. No. etc. of the Magistrate / Notary Public / Special Executive Magistrate are to be provided in case of any attestation done by them.
3. In case of additional signatures, separate annexures should be attached to the application form.
4. In case of applications under a Power of Attorney, the relevant Power of Attorney or the certified and duly notarised copy thereof must be lodged alongwith the application.
5. All Correspondence / queries shall be addressed to the first / sole applicant.
6. Strike off whichever is not applicable.
7. The following documents are to be submitted by the Corporate Applicants.
  - 7.1 Certified true copy of Board Resolution, duly certified by Managing Director / Company Secretary, authorising opening of a Demat Account and specifying name of persons authorized to open and operate the Demat account. It should specify the manner of operation of the account by the authorized persons.
  - 7.2 Memorandum and Articles of Association of the Company.
  - 7.3 Names of authorized signatories, their designation, photographs and their specimen signatures duly verified by the Managing Director or the Company Secretary.
  - 7.4 Proof of address evidenced by documents registered with registering authority or bank statement or agreement for sale or leave and license agreement or acknowledged copy of Income Tax return.
8. In addition to 7 above, the following documents are to be submitted by CMs, FIIs, NRIs, and OCBs :
  - 8.1 Copy of Certificate of Registration with SEBI (where applicable).
  - 8.2 Copy of RBI approval for FIIs, NRIs, and OCBs.
  - 8.3 BOs desiring to have their cash corporate benefits like dividend interest etc. on a repatriable basis, must forward a certified true copy of the RBI approval to each of the RTAs / Issuers where the BO is holding the securities clearly mentioning their BO ID and DP ID.

----- (Please Tear Here) -----

**FORMAT OF BOARD RESOLUTION IN CASE OF CORPORATE**  
**(To be obtained on pre-printed Letterhead of the Company)**

CERTIFIED TRUE COPY OF THE RESOLUTION PASSED AT THE BOARD MEETING OF THE BOARD OF DIRECTORS  
M/S. \_\_\_\_\_ Ltd.

AND HAVING ITS REGISTERED OFFICE AT \_\_\_\_\_

HELD ON \_\_\_\_\_ DAY \_\_\_\_\_ OF \_\_\_\_\_ 200 \_\_\_\_ AT \_\_\_\_\_

RESOLVED THAT a Beneficiary Account for the Company be opened with the Dalal & Broacha Stock Broking Pvt. Ltd. Depository Participant of Central Depository Services (India) Ltd., (CDSL) in the name and style of \_\_\_\_\_  
\_\_\_\_\_ for the purpose of dealing in the Depository/Electronic segment of the various stock exchanges.

RESOLVED FURTHER THAT \_\_\_\_\_ Director/s of the Company be and is/ are hereby authorized to do all such acts, deeds and things as may be necessary to give effect to the aforesaid resolution.

RESOLVED FURTHER THAT \_\_\_\_\_ Director/s, of the Company be individually authorized to operate the account on behalf of the Company.

RESOLVED FURTHER THAT a certified true copy of the resolution be forwarded to Dalal & Broacha Stock Broking Pvt. Ltd. for their records.

For \_\_\_\_\_ Ltd.

Director

## Agreement Between A Participant And A Person Seeking To Open A Beneficial Owner's Account

This Agreement made and entered into at \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_ between    
 Dataal & Broacha Stock Broking Pvt. Ltd. having his/its office/registered office at 506, Maker Chambers V, 221 Nariman Point, Mumbai-400 021,   
 hereinafter called "the Participant" of the One Part; AND \_\_\_\_\_   
 \_\_\_\_\_ having his/ its office/ registered office/ address at \_\_\_\_\_   
 \_\_\_\_\_ hereinafter called "the Beneficial Owner" of the Other Part.

WHEREAS the Beneficial Owner is desirous of opening a beneficial owner's account with the Participant and the Participant has agreed to open an account in the name of the Beneficial Owner and render services to the Beneficial Owner as a participant, on the terms and conditions recorded hereinbelow:

NOW THIS AGREEMENT WITNESSETH AND IT IS HEREBY AGREED BY AND BETWEEN THE PARTIES HERETO AS FOLLOWS:

### General Clause

1 The parties hereto agree to abide by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Bye Laws and Operating Instructions issued by CDSL from time to time in the same manner and to the same extent as if the same were set out herein and formed part of this Agreement.

### Fees, Charges and deposits

2 The Participant shall be entitled to change or revise the fees, charges or deposits from time to time provided however that no increase therein shall be effected by the Participant unless the Participant shall have given at least one month's notice in writing to the Beneficial Owner in that behalf. In case the Beneficial Owner committing a default in the payment of any such amount payable to the Participant on their respective due dates or within fifteen days of the same being demanded (where no such due date is specified), the Participant shall be entitled to charge interest on the amount remaining outstanding or unpaid [not exceeding 18%] per annum or part thereof. On such continued default, the Participant after giving two days notice to the Beneficial Owner shall have a right to stop processing of instructions of Beneficial Owners till such time he makes the payment along with interest if any.

### Statement of Account

3 The Participant shall furnish to the Beneficial Owner a statement of his/its account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each [quarter]. Such statement shall be in the form specified in Operating Instructions. The Participant shall furnish such statements at such shorter periods as may be required by the Beneficial Owner on payment of such charges by the Beneficial Owner as may be specified by the Participant.

### Beneficial Owner shall intimate change of particulars

4 The Participant shall not be liable or responsible for any loss that may be caused to the Beneficial Owner by reason of his/its failure to intimate change in the particulars furnished to the Participant from time to time, unless notified by the Beneficial Owner.

### Participant not liable for claims against Beneficial Owner

5 CDSL shall not be liable to the Beneficial Owner in any manner towards losses, liabilities and/or expenses arising from the claims of third parties or for any fees, charges, taxes, duties, levies or penalties levied, imposed or demanded by any Central, State, statutory or revenue authority in respect of securities credited to the Beneficial Owner's account.

### Authorised Representative

6 Where the Beneficial Owner is a body corporate or a legal entity, it shall, simultaneously with the execution of the Agreement furnish to the Participant, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

### Closure of Account

7 The Beneficial Owner, may, at any time terminate this Agreement by calling upon the Participant to close his/its account with the Participant in the manner and on the terms and conditions set out in the Bye Laws and the procedure laid down in the Operating Instructions. In the event of termination of this Agreement the Beneficial Owner shall either get the securities transferred to some other account or get the same rematerialised.

**Stamp Duty**

8. Any stamp duty (including interest or penalty levied thereon) payable on the Agreement and/or on any deed, document or writing executed in pursuance hereof between the parties hereto shall be borne and paid by the Beneficial Owner.

**Force Majeure**

9. Notwithstanding anything contained herein or in the Bye Laws, neither party hereto shall be liable to indemnify or compensate the other for any breach, non-performance or delay in performance of any obligations under the Agreement or for any harm, loss, damage or injury caused to the other due to causes reasonably beyond its control including but not limited to tide, storm, cyclone, flood, lightning, earthquake, fire, blast, explosion or any other act of God, war, rebellion, revolution, insurrection, embargo or sanction, blockade, riot, civil commotion, labour action or unrest including strike, lock-out or boycott, interruption or failure of any utility service, enemy action, criminal conspiracy, act of terrorism or vandalism, sabotage, or intrusion, or any other irresistible force or compulsion.

**Service of Notice**

10. Any notice or communication required to be given under the Agreement shall not be binding unless the same is in writing and shall have been served by delivering the same at the address set out hereinabove against a written acknowledgement of receipt thereof or by sending the same by pre-paid registered post at the aforesaid address or transmitting the same by facsimile transmission, electronic mail or electronic data transfer at number or address that shall have been previously specified by the party to be notified. Notice given by personal delivery shall be deemed to be given at the time of delivery. Notice given by post in accordance with this clause shall be deemed to be given at the commencement of business of the recipient of the notice on the third working day next following its posting. Notice sent by facsimile transmission, electronic mail or electronic data transfer shall be deemed to be given at the time of its actual transmission.

**Arbitration**

11. The parties hereto shall, in respect of all disputes and differences that may arise between them, abide by the provisions relating to arbitration and conciliation specified under the Bye Laws.

**Jurisdiction**

12. The parties hereto agree to submit to the exclusive jurisdiction of the courts in Mumbai.

**Governing Law**

13. The Agreement shall be governed by and construed in accordance with the laws in force in India.

IN WITNESS WHEREOF the parties hereto have hereunto set and subscribed their respective hands/seals to this Agreement in duplicate on the day, month, year and place first hereinabove mentioned.

Signed and delivered by

\_\_\_\_\_  
(For and on behalf of Dalal & Broacha Stock Broking Pvt. Ltd.)

\_\_\_\_\_  
Name :

\_\_\_\_\_  
Address :

\_\_\_\_\_

Signed and delivered by

\_\_\_\_\_  
First Holder (for and on behalf of the BO)

\_\_\_\_\_  
(witness)

\_\_\_\_\_  
Second Holder

\_\_\_\_\_  
Name :

\_\_\_\_\_  
Address :

\_\_\_\_\_

\_\_\_\_\_  
Third Holder

**POWER OF ATTORNEY**

**TO ALL TO WHOM THESE PRESENTS SHALL COME,  
I/WE**

- 1. \_\_\_\_\_ (X)
- 2. \_\_\_\_\_ (X)
- 3. \_\_\_\_\_ (X)

residing/place of business at \_\_\_\_\_ (X)

am/are Client/s of Dalal & Broacha Stock Broking Pvt. Ltd., a Company incorporated with limited liability under the Companies Act, 1956 in Mumbai and operating through its office located at 506, Maker Chambers V, 221, Nariman Point, Mumbai – 400 021 (hereinafter referred to as D&BSB Pvt. Ltd.), having single / joint Depository A/c under Central Depository Services (India) Ltd., DP ID No. 11700 with BO (Client ID) No. 12011700000 \_\_\_\_\_ (X)

Whereas I/We, Mr./ Ms. \_\_\_\_\_ am/are trading through D&BSB Pvt. Ltd. and I/We sell my shares through a trading a/c assigned to me/us. (X)

Whereas, in respect of the transactions done by me/us in shares and securities through D&BSB Pvt. Ltd. under the above referred trading a/c with D&BSB Pvt. Ltd., I/We normally submit the instruction slip for delivery to the office of D&BSB Pvt. Ltd. to effect the transfer of shares from my/our above referred DP Beneficiary A/Cs held with D&BSB Pvt. Ltd.

**NOW KNOW ALL MEN THAT I/We do hereby nominate, constitute and appoint D&BSB Pvt. Ltd., acting through any of its Officers as my true and lawful Attorney ( hereinafter referred to as the Said Attorney ) for me/ us and on my/ our behalf and in my/our name to operate and to effect transfer of securities from the said account to the extent of securities sold by me/us through D&BSB Pvt. Ltd for pay-in obligations towards any segment / settlement at National Stock Exchange (NSE), The Stock Exchange, Mumbai (BSE) or any other stock exchange/s and to sign the delivery instructions in that regard.**

...2...

For all and of the purposes aforesaid, to receive and sign any document and form, instruction slips, and to do all lawful acts requisite for effecting the same.

I/We hereby expect D&BSB Pvt. Ltd. to return any excess securities/funds that may have been erroneously received or not entitled to be received from me/us.

This authority is restricted to all trades/ transactions done and pay-in obligations incurred by me/us through D&BSB Pvt. Ltd.. member, NSE having its Sebi Regn. No. INB 230980634 & member, BSE having its Sebi Regn. No. INB 010980633.

I/We hereby authorize D&BSB Pvt. Ltd. to transfer any charges due to D&BSB Pvt. Ltd. from the above mentioned Depository A/c to my/our above referred Trading A/c. I/We further authorize D&BSB Pvt. Ltd. to adjust any such charges due to D&BSB Pvt. Ltd. from any amount payable to me/us by D&BSB Pvt. Ltd.

I/We hereby agree that all acts and deeds done by D&BSB Pvt. Ltd. under this Power of Attorney shall be construed as acts and deeds done by me/us jointly as well as severally and we further confirm and ratify all and whatsoever D&BSB Pvt. Ltd. shall lawfully do or cause to be done by virtue of this authorization.

I/We further confirm that the powers and authorities conferred by this Power of Attorney shall cease upon revocation without notice and completion of the pay-in obligations/settlement of dues as aforementioned.

Dated at \_\_\_\_\_ this \_\_\_\_\_ Day of \_\_\_\_\_ 20

1. \_\_\_\_\_  \_\_\_\_\_  
2. \_\_\_\_\_  \_\_\_\_\_  
3. \_\_\_\_\_  \_\_\_\_\_

Witness :

Signature :

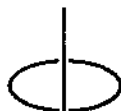
Name :

Address :

I / We Accept  
**For Dalal & Broacha Stock Broking Pvt. Ltd.**

Director / Authorised Signatory





## Terms and Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL

### Definitions :

in these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act, 1956 and having its registered office at 17th Floor, P. J. Towers, Dalal Street, Fort, Mumbai 400 001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service".
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best efforts basis as per these terms and conditions.

### Availability :

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

### Receiving Alerts :

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/or the DP immediately in writing and the depository will make best possible efforts to rectify the errors as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at [complaints@cdsindia.com](mailto:complaints@cdsindia.com). The BO is advised not to inform the service provider about any such unauthorized debit to/transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

### Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

**Disclaimer:**

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/misuse of such information by the third person.

**Liability and Indemnity:**

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

**Amendments:**

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

**Governing Law and Jurisdiction:**

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. I/We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as in necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/We further undertake to pay fee / charges as may be levied by the depository from time to time.

I/We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of **REGISTRATION / MODIFICATION** (Please cancel out what is not applicable).

BOID	1	2	0	1	1	7	0	0		0	0								
	(Please write your 8 digit DPID)									(Please write your 8 digit Client ID)									

Sole / First Holder's Name : \_\_\_\_\_

Second Holder's Name : \_\_\_\_\_

Third Holder's Name : \_\_\_\_\_

Mobile Number on which messages are to be sent

+91																			
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(Please write only the mobile number without prefixing country code or zero)

The mobile number is registered in the name of : \_\_\_\_\_

Email ID : \_\_\_\_\_  
(Please write only ONE valid email ID on which communication; if any, is to be sent)

\_\_\_\_\_  \_\_\_\_\_  \_\_\_\_\_  
 Signature                      Sole / First Holder                      Second Holder                      Third Holder

Place : \_\_\_\_\_